UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

06 Civ. 3150 (GBD)

v.

NELSON OBUS, et al.,

Defendants.

PLAINTIFF SECURITIES AND EXCHANGE COMMISSION'S PROPOSED VERDICT FORM

Paul W. Kisslinger Kyle DeYoung Jonathan Haray Attorneys for Plaintiff Securities and Exchange Commission 100 F Street, NE Washington, DC 20549-4030 (tel) 202-551-4427 (Kisslinger) (fax) 202-772-9246 (Kisslinger)

Dated: March 26, 2014

VERDICT FORM

1.	Did Defendant Bradley Strickland violate Section 10(b) of the Securities Exchange Act and Exchange Act Rule 10b-5?	
	Yes: No:	
2.	Did Defendant Peter Black violate Section 10(b) of the Securities Exchange Act and Exchange Act Rule 10b-5?	
	Yes: No:	
3.	Did Defendant Nelson Obus violate Section 10(b) of the Securities Exchange Act and Exchange Act Rule 10b-5?	
	Yes: No:	
Date:_		
		Foreperson